



Financial Industry Regulatory Authority

## RULE 15c2-11 REGIONAL EXEMPTION REQUEST FORM

Complete this form to initiate or resume quotations in the OTC Bulletin Board® for securities that meet the qualifications under NASD Rule 6530(a)(1)(A) and (B). List the security information in the spaces provided below. A registered principal of your firm must sign the form. Mail your completed form to FINRA, OTC Compliance Unit, 9509 Key West Avenue, Rockville, MD 20850-3329, or fax it to (240) 386-5137. If you have questions about filing this form or the conditions surrounding this exemption, call the OTC Compliance Unit at (240) 386-5100.

### Issuer and Security Information:

Symbol

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Exchange Where Security Is Listed

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Name of Issuer

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CUSIP

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Fiscal Year End Date (MM/DD)

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Date of Incorporation (MM/DD/YYYY)

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State or Country of Incorporation

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Standard Industrial Classification (SIC) Code

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Central Index Key (CIK) number

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### Certification

The undersigned by signing below affirms that paragraph (f)(1) of Rule 15c2-11 and NASD Rule 6530(a)(1)(A) and (B) apply. Detailed information regarding Rule 15c2-11 and NASD Rule 6640 is available by calling the OTC Compliance Unit at (240) 386-5100.

Name and signature of the firm's principal overseeing the submission of this form:

Name

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Title

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Signature

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Date

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Firm Name

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Telephone Number

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Market Participant Identifier

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